Appendix A SWISS FINANCIAL INSTITUTION DEFENDANTS

Defendant Name	Case Name	Adv. Pro. No.	Commercial Register Extract	FBC List	FINMA Letter
Banca Arner S.A.	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03635	X	X	X
Banca Arner S.A.	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03636	X	X	X
Banca Commerciale Lugano	Fairfield Sentry Ltd. (In Liquidation), et al. v. Hinduja Bank (Switzerland) SA, et al.	12-01185	X	X	X
Banca Unione di Credito	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN Amro Schweiz AG a/k/a ABN Amro (Switzerland) AG, et al.	10-03635	X		X
Banca Unione di Credito	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN Amro Schweiz AG a/k/a ABN Amro (Switzerland) AG, et al.	10-03636	X		X
Banco Santander International SA (f/k/a Banco Santander (Suisse) S.A.)	Fairfield Sentry Ltd. (In Liquidation) et al v. Banco Santander (Suisse) S.A	10-03509	X	X	X
Bank J. Safra Sarasin AG, f/k/a Bank Sarasin & Cie	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03635	X	X	X
Bank J. Safra Sarasin AG, f/k/a Bank Sarasin & Cie	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03636	X	X	X
Bank Julius Baer & Co. Ltd.	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03635	X	X	X
Bank Julius Baer & Co. Ltd.	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03636	X	X	X

Defendant Name	Case Name	Adv. Pro. No.	Commercial Register	FBC List	FINMA Letter
			Extract		
Bank Julius	Fairfield Sentry Ltd. (In	11-01243	X	X	X
Baer & Co. Ltd.	Liquidation), et al. v. Bank				
	Julius Baer and Co. Ltd.,				
	Zurich, et al.				
Bank Vontobel	Fairfield Sentry Ltd. (In	11-01760	X	X	X
AG	Liquidation), et al. Bank				
	Vontobel AG		1		
BankMed	Fairfield Sentry Ltd. (In	11-02772	X^1	X	
(Suisse) SA	Liquidation), et al. v. BankMed				
	(Suisse) S.A. f/k/a Banque de la				
	Mediterra, et al.				
Banque Baring	Fairfield Sentry Ltd. (In	12-01157	X	X	X
Brothers	Liquidation) et al. v. Banque				
Sturdza SA	Baring Brothers Sturdza SA et				
(now known as	al.				
Banque Eric					
Sturdza SA)					
Banque	Fairfield Sentry Ltd. (In	10-03635	X	X	X
Cantonale	Liquidation), et al. v. ABN				
Vaudoise	AMRO Schweiz AG, et al.				
Banque	Fairfield Sentry Ltd. (In	10-03636	X	X	X
Cantonale	Liquidation), et al. v. ABN				
Vaudoise	AMRO Schweiz AG, et al.				
Banque	Fairfield Sentry Ltd. (In	10-04091	X^2	X	
Internationale à	Liquidation), et al. v. Dexia				
Luxembourg	Private Bank (Switzerland), et				
(Suisse) SA	al.				
f/k/a Dexia					
Private Bank					
(Switzerland)					
SA					
Banque Pictet	Fairfield Sentry Ltd. (In	10-03635	X	X	X
& Cie SA	Liquidation), et al. v. ABN				
	AMRO Schweiz AG, et al.	40.05.55			
Banque Pictet	Fairfield Sentry Ltd. (In	10-03636	X	X	X
& Cie SA	Liquidation), et al. v. ABN				
	AMRO Schweiz AG, et al.				

¹ Extract to be submitted via supplemental declaration. ² Extract to be submitted via supplemental declaration.

Defendant	Case Name	Adv.	Commercial	FBC	FINMA
Name	Case Ivallie	Pro. No.	Register Extract	List	Letter
Banque Pictet & Cie SA	Fairfield Sigma Ltd. (In Liquidation), et al. v. Pictet & Cie	10-03764	X	X	X
Banque Piguet & Cie S.A. n/k/a Piguet Galland & Cie SA	Fairfield Sentry Ltd. (In Liquidation), et al. v. Banque Piguet & Cie S.A., et al.	10-03514	X	X	X
Banque SCS Alliance SA n/k/a Compagnie Bancaire Helvetique SA	Fairfield Sentry Ltd. (In Liquidation), et al. v. Banque SCS Alliance SA, et al.	11-01256	X	X	X
Banque SYZ SA (as successor to Royal Bank of Canada (Suisse))	Fairfield Sentry Ltd. (In Liquidation), et al. v. Royal Bank of Canada (Suisse), et al.	10-04087	X	X	X
Banque SYZ SA (f/k/a Banque Syz & Co. S.A.)	Fairfield Sentry Ltd. (In Liquidation) et al v. Banque Syz & Co. S.A. et al.	10-03513	X	X	X
Barclays Bank (Suisse) SA	Fairfield Sentry Ltd. (In Liquidation) et al v. Barclays Bank (Suisse) SA et al.	11-01259	X	X	X
BBVA SA (f/k/a BBVA (Suiza) SA, a/k/a BBVA (Suisse) SA)	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03635	X	X	X
BBVA SA (f/k/a BBVA (Suiza) SA, a/k/a BBVA (Suisse) SA)	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03636	X	X	X

Defendant	Case Name	Adv.	Commercial	FBC	FINMA
Name		Pro. No.	Register Extract	List	Letter
BBVA SA	Fairfield Sentry Ltd. (In	11-01600	X	X	X
(f/k/a BBVA	Liquidation), et al. v. BBVA				
(Suiza) SA,	Zurich/Shares, et al.				
a/k/a BBVA					
(Suisse) SA)					
(sued as					
"BBVA					
Zurich/Shares")		11.01.7.00			
Bipielle Bank	Fairfield Sentry Ltd. (In	11-01568	X	X	X
(Suisse) in	Liquidation), et al. v. Bipielle				
Liquidation	Banke (Suisse) et al.				
(sued as					
Bipielle Banke					
(Suisse)) BNP Paribas	Fairfield Sentry Ltd. (In	10-03635	X	X	
(Suisse) SA	Liquidation), et al. v. ABN	10-03033	Λ	Λ	
(Suisse) SA	AMRO Schweiz AG, et al.				
BNP Paribas	Fairfield Sentry Ltd. (In	10-03636	X	X	
(Suisse) SA	Liquidation), et al. v. ABN	10-03030	ZX	1	
(Subse) S11	AMRO Schweiz AG, et al.				
BNP Paribas	Fairfield Sentry Ltd. (In	10-03635	X		
(Suisse) SA Ex	Liquidation), et al. v. ABN				
Fortis (n/k/a	AMRO Schweiz AG, et al.				
BNP Paribas					
(Suisse) SA)					
BNP Paribas	Fairfield Sentry Ltd. (In	10-03636	X		
(Suisse) SA Ex	Liquidation), et al. v. ABN				
Fortis (n/k/a	AMRO Schweiz AG, et al.				
BNP Paribas					
(Suisse) SA)		10.00607			
BNP Paribas	Fairfield Sentry Ltd. (In	10-03635	X		
(Suisse) SA	Liquidation), et al. v. ABN				
Private (n/k/a	AMRO Schweiz AG, et al.				
BNP Paribas					
(Suisse) SA)	Egiptiald Control Ltd (In	10-03636	v		
BNP Paribas (Suisse) SA	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN	10-03030	X		
Private (n/k/a	AMRO Schweiz AG, et al.				
BNP Paribas	mino schweiz AU, et al.				
(Suisse) SA)					
Bordier & Cie	Fairfield Sentry Ltd. (In	10-03873	X	X	X
	Liquidation), et al. v. Bordier	10 05075			
	& Cie, et al.				

Defendant Name	Case Name	Adv. Pro. No.	Commercial Register Extract	FBC List	FINMA Letter
BSI AG	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN Amro Schweiz AG a/k/a ABN Amro (Switzerland) AG, et al.	10-03635	X	X	X
BSI AG	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN Amro Schweiz AG a/k/a ABN Amro (Switzerland) AG, et al.	10-03636	X	X	X
BSI Ex Banca del Gottardo	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN Amro Schweiz AG a/k/a ABN Amro (Switzerland) AG, et al.	10-03635	X		X
BSI Ex Banca del Gottardo	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN Amro Schweiz AG a/k/a ABN Amro (Switzerland) AG, et al.	10-03636	X		X
CA Indosuez (Switzerland) SA	Fairfield Sentry Ltd. (In Liquidation), et al. v. CA Indosuez (Switzerland) SA, et al.	11-01244	X	X	X
CBH Compagnie Bancaire Helvetique	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03635	X	X	X
CBH Compagnie Bancaire Helvetique	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03636	X	X	X
Citibank (Switzerland) AG	Fairfield Sentry Ltd. (In Liquidation), et al. v. Zurich Capital Markets Company, et al.	10-03634	X	X	X
Citibank (Switzerland) AG	Fairfield Sigma Ltd. (In Liquidation), et al. v. Citibank (Switzerland) AG, et al.	10-03640	X	X	X
Clariden Leu Limited	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03635	X	X	X
Clariden Leu Limited	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03636	X	X	X

Defendant	Case Name	Adv.	Commercial	FBC	FINMA
Name		Pro. No.	Register Extract	List	Letter
Compagnie	Fairfield Sentry Ltd. (In	10-03634	X	X	X
Bancaire	Liquidation), et al. v. Zurich				
Espirito Santo	Capital Markets Company, et				
SA	al.	10.02625	37	37	37
Corner Banca	Fairfield Sentry Ltd. (In	10-03635	X	X	X
SA	Liquidation), et al. v. ABN				
C D	AMRO Schweiz AG, et al.	10.02626	N/	37	V
Corner Banca	Fairfield Sentry Ltd. (In	10-03636	X	X	X
SA	Liquidation), et al. v. ABN				
Cuadit Cuissa	AMRO Schweiz AG, et al.	10.02625	V	v	X
Credit Suisse AG Zurich	Fairfield Sentry Ltd. (In	10-03635	X	X	Λ
AG Zuricii	Liquidation), et al. v. ABN AMRO Schweiz AG, et al.				
Credit Suisse	Fairfield Sentry Ltd. (In	10-03636	X	X	X
AG Zurich	Liquidation), et al. v. ABN	10-03030	Λ	Λ	Λ
AG Zurien	AMRO Schweiz AG, et al.				
Deutsche Bank	Fairfield Sentry Ltd. (In	10-03745	X	X	X
(Suisse) SA	Liquidation), et al. v. Deutsche	10-03743	A .	71	A
(Suisse) Si i	Bank (Suisse) SA Geneve, et al.				
Deutsche Bank	Fairfield Sentry Ltd. (In	11-02440	X	X	X
(Suisse) SA,	Liquidation), et al. v. Bank Sal.	11 02 110			
Successor in	Oppenheim Jr. & Cie				
Interest to Bank	(Schweiz) AG a/k/a Bank Sal.				
Sal. Oppenheim	Oppenheim Jr. & Cie, et al.				
Jr. & Cie					
(Schweiz) AG					
Dresdner Bank	Fairfield Sentry Ltd. (In	10-03635	X	X	X
Schweiz n/k/a	Liquidation), et al. v. ABN				
LGT Bank	AMRO Schweiz AG, et al.				
(Switzerland)					
Ltd.					
Dresdner Bank	Fairfield Sentry Ltd. (In	10-03636	X	X	X
Schweiz n/k/a	Liquidation), et al. v. ABN				
LGT Bank	AMRO Schweiz AG, et al.				
(Switzerland)					
Ltd.					
Edmond de	Fairfield Sentry Ltd. (In	10-03635	X	X	X
Rothschild	Liquidation), et al. v. ABN				
(Suisse) SA	AMRO Schweiz AG, et al.				
(sued as Sella					
Bank AG)					

Defendant Name	Case Name	Adv. Pro. No.	Commercial Register	FBC List	FINMA Letter
Name		110.110.	Extract	List	Letter
Edmond de	Fairfield Sentry Ltd. (In	10-03636	X	X	X
Rothschild	Liquidation), et al. v. ABN				
(Suisse) SA	AMRO Schweiz AG, et al.				
(sued as Sella					
Bank AG)					
EFG Bank a/k/a	Fairfield Sentry Ltd. (In	10-03625	X	X	X
EFG Bank AG	Liquidation), et al. v. EFG				
and/or EFG	BANK a/k/a EFG BANK AG				
Bank SA	and/or EFG BANK SA., et al.				
EFG Bank S.A.	Fairfield Sentry Ltd. (In	10-03635	X	X	X
Switzerland	Liquidation), et al. v. ABN				
(n/k/a EFG	Amro Schweiz AG a/k/a ABN				
Bank)	Amro (Switzerland) AG, et al.				
EFG Bank S.A.	Fairfield Sentry Ltd. (In	10-03636	X	X	X
Switzerland	Liquidation), et al. v. ABN				
(n/k/a EFG	Amro Schweiz AG a/k/a ABN				
Bank)	Amro (Switzerland) AG, et al.				
EFG Private	Fairfield Sentry Ltd. (In	10-03634	X	X	X
Bank S.A.	Liquidation), et al. v. Zurich				
(n/k/a EFG	Capital Markets Company, et				
Bank)	al.				
Falcon Private	Fairfield Sentry Ltd. (In	10-03635	X	X	X
Bank f/k/a AIG	Liquidation), et al. v. ABN				
Privat Bank AG	Amro Schweiz AG a/k/a ABN				
	Amro (Switzerland) AG, et al.				
Falcon Private	Fairfield Sentry Ltd. (In	10-03636	X	X	X
Bank f/k/a AIG	Liquidation), et al. v. ABN				
Privat Bank AG	Amro Schweiz AG a/k/a ABN				
	Amro (Switzerland) AG, et al.				
Finter Bank	Fairfield Sentry Ltd. (In	10-03635	X	X	X
Zurich (n/k/a	Liquidation), et al. v. ABN				
Bank Vontobel	AMRO Schweiz AG, et al.				
AG)					
Finter Bank	Fairfield Sentry Ltd. (In	10-03636	X	X	X
Zurich (n/k/a	Liquidation), et al. v. ABN				
Bank Vontobel	AMRO Schweiz AG, et al.				
AG)					

Name	Defendant	Case Name	Adv.	Commercial	FBC	FINMA
FS/CBESSA a/k/a Banque Privee Espirito Santo SA f/k/a Compagnie Bancaire Espirito Santo SA FS/SG Private Banking (Lugano- Svizzera) SA a/k/a SG Private Banking (Lugano- Svizzera) SA Hapoalim (Switzerland) Ltd. Hinduja Bank (Switzerland) SA f/k/a Amas Bank (Switzerland) Ltd. Hinduja Bank (Switzerland) SA f/k/a Amas Bank (Switzerland) Ltd. HSBC Guyerzeller Zurich a/k/a HSBC Guyerzeller Trust Company AG n/k/a HSBC Trust Company AG HSBC Private Bank Suisse SA Liquidation), et al. v. HSBC Hiquidation), et al. v. HSBC Hapoalim (Switzerland) Ltd. HSBC Fairfield Sentry Ltd. (In Liquidation), et al. HSBC Guyerzeller Zurich a/k/a HSBC Trust Company AG Fairfield Sentry Ltd. (In Liquidation), et al. v. HSBC Guyerzeller Trust Company AG Fairfield Sentry Ltd. (In Liquidation), et al. v. HSBC Hiquidation), et al. v. HSBC Hipuidation), et al. v. HSBC Hipuidation), et al. v. HSBC Hipuidation), et al. v. HSBC Guyerzeller Trust Company AG Fairfield Sentry Ltd. (In Liquidation), et al. v. HSBC Hipuidation), et al. v. HSBC Hipuidation Hipuid	Name		Pro. No.		List	Letter
a/k/a Banque Privee Espirito Santo SA f/k/a Compagnie Bancaire Espirito Santo SA FS/SG Private Banking (Lugano- Svizzera) SA a/k/a SG Private Banking (Lugano- Svizzera) SA Hapoalim (Switzerland) Ltd. Hapoalim (Suisse) Ltd. et al Hapoalim (Switzerland) Ltd. AMRO Schweiz AG, et al. Fairfield Sentry Ltd. (In Liquidation), et al. v. Bank Ltd. Hapoalim (Switzerland) Ltd. AMRO Schweiz AG, et al. Hapoalim (Switzerland) Ltd. Hapoalim (Switzerland) Ltd. Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN Ltd. AMRO Schweiz AG, et al. Hapoalim (Switzerland) Ltd. Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN Ltd. AMRO Schweiz AG, et al. Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN Ltd. AMRO Schweiz AG, et al. Fairfield Sentry Ltd. (In Liquidation), et al. v. Hinduja Bank (Switzerland) Ltd. Hinduja Bank Ltd. Hinduja Bank L	EC/CDECCA	Egiptiald Contray I td. (In	10.02756		v	v
Privee Espirito Santo SA ftk/a Compagnie Bancaire Espirito Santo SA solution SA ftk/a Compagnie Bancaire Espirito Santo SA FS/SG Private Banking (Lugano Svizzera) SA a/k/a SG Private Banking (Lugano Svizzera) SA, et al. ### Fairfield Sentry Ltd. (In Liquidation), et al. v. FS/SG Private Banking (Lugano Svizzera) SA, et al. ### ### Fairfield Sentry Ltd. (In Liquidation) et al. v. Bank Ltd. ### ### ### ### ### ### ### ### ### #			10-03/30	Λ	Λ	Λ
Santo SA ff/k/a Compagnie Bancaire Espirito Santo SA FS/SG Private Banking (Lugano- Svizzera) SA Aik/a SG Private Banking (Lugano- Svizzera) SA Hapoalim (Switzerland) Ltd. Hinduja Bank (Switzerland) Ltd. Hinduja Bank (Switzerland) Ltd. Hinduja Bank (Switzerland) Ltd. Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al. Hinduja Bank (Switzerland) Ltd. Hinduja Bank (Switzerland) SA ffk/a Amas Bank (Switzerland) Ltd. HSBC Guyerzeller Zurich a/k/a HSBC Guyerzeller Zurich, et al. Hinduja HSBC Fairfield Sentry Ltd. (In Liquidation), et al. v. Hinduja Bank (Switzerland) SA fik/a HSBC Trust Company AG HSBC Private Bank Suisse SA Fairfield Sentry Ltd. (In Liquidation), et al. v. HSBC Ilquidation), et al. v. HSBC Ilquidation), et al. v. HSBC	-	/				
Compagnie Bancaire Espirito Santo SA FS/SG Private Banking (Lugano- Svizzera) SA a/k/a SG Private Banking (Lugano- Svizzera) SA Hapoalim (Switzerland) Ltd. Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al. Hapoalim (Switzerland) Ltd. Fairfield Sentry Ltd. (In Liquidation), et al. v. Hinduja Bank (Switzerland) SA f/k/a Amas Bank (Switzerland) Ltd. Fairfield Sentry Ltd. (In Liquidation), et al. v. Hinduja Bank (Switzerland) SA, et al. Fairfield Sentry Ltd. (In Liquidation), et al. v. Hinduja Bank (Switzerland) SA, et al. Fairfield Sentry Ltd. (In Liquidation), et al. v. Hinduja Bank (Switzerland) SA, et al. Fairfield Sentry Ltd. (In Liquidation), et al. v. HSBC Guyerzeller Zurich a/k/a HSBC Guyerzeller Zurich, et al. Fairfield Sentry Ltd. (In Liquidation), et al. v. HSBC Trust Company AG HSBC Private Bank Suisse SA Fairfield Sentry Ltd. (In Liquidation), et al. v. HSBC	-	15/CDLSS/A, et al.				
Bancaire Espirito Santo SA FS/SG Private Banking (Lugano- Svizzera) SA a/k/a SG Private Banking (Lugano- Svizzera) SA, et al. Hapoalim (Switzerland) Ltd. Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al. Hinduja Bank (Switzerland) SA f/k/a Amas Bank (Switzerland) Ltd. Hinduja Bank (Switzerland) SA f/k/a Amas Bank (Switzerland) Ltd. Fairfield Sentry Ltd. (In Liquidation), et al. v. Hinduja Bank (Switzerland) SA f/k/a Couperzeller Zurich a/k/a HSBC Guyerzeller Zurich a/k/a HSBC Trust Company AG HSBC Private Bank Suisse SA Fairfield Sentry Ltd. (In Liquidation), et al. v. HSBC Il-01594 X X X X X X X X X X X X X						
Espirito Santo SA FS/SG Private Banking (Lugano-Svizzera) SA (Lugano-Svizzera) SA (Lugano-Svizzera) SA, et al. Fairfield Sentry Ltd. (In Liquidation), et al. v. FS/SG (Private Banking (Lugano-Svizzera) SA, et al. Hapoalim (Lugano-Svizzera) SA Hapoalim (Switzerland) Ltd. (In Liquidation) et al. v. Bank Hapoalim (Switzerland) Ltd. Hapoalim (Switzerland) Ltd. (In Liquidation), et al. v. ABN Ltd. (In Liquidation), et al. v. Hinduja Bank (Switzerland) Bank (Switzerland) Bank (Switzerland) SA fik'a Amas Bank (Switzerland) Bank (Switzerland) Bank (Switzerland) Bank (Switzerland) Bank (Switzerland) SA fik'a Cuyerzeller Zurich aik'a HSBC Guyerzeller Turich Company AG n/k/a HSBC Trust Company AG HSBC Private Bank Suisse SA Liquidation), et al. v. HSBC (In	1 0					
SA FS/SG Private Banking (Lugano- Svizzera) SA a/k/a SG Private Banking (Lugano- Svizzera) SA Hapoalim (Switzerland) Ltd. Hinduja Bank (Switzerland) SA f/k/a Amas Bank (Switzerland) Ltd. Hinduja Bank (Switzerland) Ltd. HSBC Guyerzeller Zurich a/k/a HSBC Guyerzeller Trust Company AG n/k/a HSBC Trust Company AG HSBC Private Bank Suisse SA Liquidation), et al. v. HSBC Liquidation), et al. v. HSBC Trust Company AG HSBC Private Bank Suisse SA Liquidation), et al. v. HSBC Liquidation), et al. v. HSBC I11-01566 X X X X X X X X X X X X						
FS/SG Private Banking (Lugano- Svizzera) SA a/k/a SG Private Banking (Lugano- Svizzera) SA, et al. Hapoalim (Switzerland) Ltd. Hapoalim (Switzerland) Ltd. Hapoalim (Switzerland) Ltd. Hapoalim (Switzerland) Ltd. Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al. Hinduja Bank (Switzerland) Ltd. Hinduja Bank (Switzerland) SA f/k/a Amas Bank (Switzerland) Ltd. HSBC Guyerzeller Zurich a/k/a HSBC Guyerzeller Trust Company AG n/k/a HSBC Trust Company AG HSBC Private Bank Suisse SA Fairfield Sentry Ltd. (In Liquidation), et al. v. HSBC Liquidation), et al. v. HSBC I10-03635 X X X X X X X X X X X X X	<u> </u>					
Banking (Lugano- Svizzera) SA a/k/a SG Private Banking (Lugano- Svizzera) SA Hapoalim (Switzerland) Ltd. Hinduja Bank (Switzerland) Ltd. Hinduja Bank (Switzerland) SA f/k/a Amas Bank (Switzerland) Ltd. HisBC Guyerzeller Zurich a/k/a HSBC Guyerzeller Trust Company AG n/k/a HSBC Trust Company AG HSBC Private Bank Suisse SA Liquidation), et al. v. HSBC Private Bank Suisse SA Liquidation), et al. v. HSBC Liquidation), et al. v. HSBC Rairfield Sentry Ltd. (In Liquidation), et al. v. Hinduja Bank (Switzerland) Ltd. Liquidation), et al. v. Hinduja Bank (Switzerland) SA, et al. 11-01594 X X X X X X X X X X X X X		Fairfield Sentry Ltd. (In	11-01566	X	X	X
CLugano- Svizzera SA SA SVizzera SA SC SVizzera SA SVizzera SA SVizzera SA SVizzera SA SVizzera SA SVizzera SA						
Svizzera) SA a/k/a SG Private Banking (Lugano- Svizzera) SA Hapoalim (Switzerland) Ltd. Hapoalim (Switzerland) Ltd. Fairfield Sentry Ltd. (In Liquidation), et al. v. Bank Hapoalim (Switzerland) Ltd. Hinduja Bank (Switzerland) SA f/k/a Amas Bank (Switzerland) Ltd. HSBC Guyerzeller Zurich a/k/a HSBC Guyerzeller Trust Company AG n/k/a HSBC Trust Company AG HSBC Private Bank Suisse SA Fairfield Sentry Ltd. (In Liquidation), et al. v. HSBC Guyerzetler Trust Company AG HSBC Private Bank Suisse SA Liquidation), et al. v. HSBC Fairfield Sentry Ltd. (In Liquidation), et al. v. HSBC Guyerzetler Trust Company AG HSBC Private Bank Suisse SA Liquidation), et al. v. HSBC	_					
a/k/a SG Private Banking (Lugano- Svizzera) SA Hapoalim (Switzerland) Ltd. Hapoalim (Suisse) Ltd. et al Hapoalim (Switzerland) Ltd. Hapoalim (Switzerland) Ltd. Hapoalim (Switzerland) Ltd. Hapoalim (Switzerland) Ltd. AMRO Schweiz AG, et al. Hinduja Bank (Switzerland) Ltd. HSBC Guyerzeller Curich a/k/a HSBC Guyerzeller Trust Company AG n/k/a HSBC Trust Company AG HSBC Private Bank Suisse SA Liquidation), et al. v. HSBC I-0-03633 I 0-03633 I 0-03	\ <u> </u>					
CLugano-Svizzera) SA	/	, ,				
CLugano-Svizzera) SA	Banking					
Hapoalim (Switzerland) Ltd. Hapoalim (Switzerland) Ltd. Hapoalim (Suisse) Ltd. et al Hapoalim (Switzerland) Ltd. Hapoalim (Switzerland) Ltd. AMRO Schweiz AG, et al. Hinduja Bank (Switzerland) SA flk/a Amas Bank (Switzerland) Ltd. HSBC Fairfield Sentry Ltd. (In Liquidation), et al. v. Hinduja Bank (Switzerland) Ltd. HSBC Guyerzeller Zurich a/k/a HSBC Guyerzeller Trust Company AG n/k/a HSBC Trust Company AG GHSBC Private Bank Suisse SA HSBC Private Bank Suisse SA Fairfield Sentry Ltd. (In Liquidation), et al. v. HSBC Guyerzeller Trust Company AG HSBC Private Bank Suisse SA Liquidation), et al. v. HSBC	_					
(Switzerland) Ltd.	Svizzera) SA					
Ltd. Hapoalim (Suisse) Ltd. et al Hapoalim (Switzerland) Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al. Hapoalim (Switzerland) Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al. Hinduja Bank (Switzerland) Fairfield Sentry Ltd. (In Liquidation), et al. v. Hinduja Bank (Switzerland) (Switzerland) Liquidation), et al. v. Hinduja Bank (Switzerland) SA, et al. HSBC Guyerzeller Zurich af k/a HSBC Guyerzeller Trust Company AG n/k/a HSBC Trust Company AG Fairfield Sentry Ltd. (In Liquidation), et al. v. HSBC HSBC Private Bank Suisse SA Fairfield Sentry Ltd. (In Liquidation), et al. v. HSBC		Fairfield Sentry Ltd. (In	10-03510	X	X	
Hapoalim (Switzerland) Ltd. Hinduja Bank (Switzerland) SA f'k/a Amas Bank (Switzerland) Ltd. HSBC Guyerzeller Zurich a/k/a HSBC Guyerzeller Trust Company AG HSBC Private Bank Suisse SA Fairfield Sentry Ltd. (In Liquidation), et al. v. Hinduja SA f'k/a Liquidation), et al. v. HSBC HSBC Private Bank Suisse SA Fairfield Sentry Ltd. (In Liquidation), et al. v. HSBC Toust Company AG Liquidation), et al. v. HSBC Toust Company AG Liquidation), et al. v. HSBC Liquidation), et al. v. HSBC	_	Liquidation) et al. v. Bank				
CSwitzerland Liquidation et al. v. ABN AMRO Schweiz AG, et al.	Ltd.	Hapoalim (Suisse) Ltd. et al				
Ltd.AMRO Schweiz AG, et al.Hapoalim (Switzerland) Ltd.Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.10-03636 XXHinduja Bank (Switzerland) SA f/k/a Amas Bank (Switzerland) Ltd.Fairfield Sentry Ltd. (In Liquidation), et al. v. Hinduja Bank (Switzerland) SA, et al.12-01185 XXXHSBC Guyerzeller Zurich a/k/a HSBC Guyerzeller Trust Company AG HSBC Private Bank Suisse SAFairfield Sentry Ltd. (In Liquidation), et al. v. HSBC11-01594 XXXTo-03633 Liquidation), et al. v. HSBC	Hapoalim	Fairfield Sentry Ltd. (In	10-03635	X	X	
Hapoalim (Switzerland) Ltd. AMRO Schweiz AG, et al. Hinduja Bank (Switzerland) SA f/k/a Amas Bank (Switzerland) Ltd. Fairfield Sentry Ltd. (In Liquidation), et al. v. Hinduja SA f/k/a Amas Bank (Switzerland) SA, et al. HSBC Fairfield Sentry Ltd. (In Liquidation), et al. v. HSBC Guyerzeller Curich a/k/a HSBC Guyerzeller Zurich, et al. HSBC Guyerzeller Trust Company AG n/k/a HSBC Trust Company AG HSBC Private Bank Suisse SA Hapoalim (In Liquidation), et al. v. ABN I 10-03636 X X X X X X X X X X X X X	(Switzerland)	Liquidation), et al. v. ABN				
Company Comp	Ltd.	AMRO Schweiz AG, et al.				
Hinduja Bank (Switzerland) SA f/k/a Amas Bank (Switzerland) Ltd. HSBC Guyerzeller Zurich a/k/a HSBC Guyerzeller Trust Company AG HSBC Private Bank Suisse SA HSBC Private Bank Suisse SA AMRO Schweiz AG, et al. I 12-01185 I I I I I I I I I I I I I I I I I I I	Hapoalim	Fairfield Sentry Ltd. (In	10-03636	X	X	
Hinduja Bank (Switzerland) SA f/k/a Amas Bank (Switzerland) SA, et al. HSBC Guyerzeller Zurich a/k/a HSBC Guyerzeller Trust Company AG n/k/a HSBC Trust Company AG HSBC Private Bank Suisse SA Hinduja Bank (In Liquidation), et al. v. Hinduja Bank (Switzerland) SA, et al. Italian Interval I	(Switzerland)	1 /				
(Switzerland) SA f/k/a Amas Bank (Switzerland) Ltd. HSBC Guyerzeller Zurich a/k/a HSBC Guyerzeller Trust Company AG HSBC Private Bank Suisse SA Fairfield Sentry Ltd. (In Liquidation), et al. v. HSBC I 11-01594 I 11-01594 X X X X I 11-01594 X X X X I 11-01594 X X X X X X X X X X X X X						
SA f/k/a Amas Bank (Switzerland) Ltd. HSBC Guyerzeller Zurich a/k/a HSBC Guyerzeller Trust Company AG n/k/a HSBC Trust Company AG HSBC Private Bank Suisse SA Bank (Switzerland) SA, et al.			12-01185	X	X	X
Bank (Switzerland) Ltd. HSBC Fairfield Sentry Ltd. (In Guyerzeller Zurich a/k/a HSBC Guyerzeller Zurich, et al. HSBC Guyerzeller Trust Company AG n/k/a HSBC Trust Company AG HSBC Private Bank Suisse SA Fairfield Sentry Ltd. (In Liquidation), et al. v. HSBC 11-01594 X X X X X X X X X X X X X		1 /				
CSwitzerland) Ltd.		Bank (Switzerland) SA, et al.				
HSBC Fairfield Sentry Ltd. (In Liquidation), et al.v. HSBC Guyerzeller Zurich, et al. Guyerzeller Trust Company AG n/k/a HSBC Trust Company AG Trust Company AG Liquidation), et al. v. HSBC HSBC Private Bank Suisse SA Liquidation), et al. v. HSBC						
HSBC Guyerzeller Zurich a/k/a HSBC Guyerzeller Trust Company AG HSBC Private Bank Suisse SA Fairfield Sentry Ltd. (In Liquidation), et al.v. HSBC Guyerzeller Zurich, et al. 11-01594 X X X X X X X X X X X X X X X X X X X	` ′					
Guyerzeller Zurich a/k/a HSBC Guyerzeller Zurich, et al. Guyerzeller Trust Company AG n/k/a HSBC Trust Company AG HSBC Private Bank Suisse SA Liquidation), et al.v. HSBC Guyerzeller Zurich, et al. 10-03633 X X X X			11.01.704	***	77	
Zurich a/k/a HSBC Guyerzeller Trust Company AG n/k/a HSBC Trust Company AG HSBC Private Bank Suisse SA Guyerzeller Zurich, et al. Guyerzeller Zurich, et al. 10-03633 X X X X X			11-01594	X	X	
HSBC Guyerzeller Trust Company AG n/k/a HSBC Trust Company AG HSBC Private Bank Suisse SA Liquidation), et al. v. HSBC	_	_ ·				
Guyerzeller Trust Company AG n/k/a HSBC Trust Company AG HSBC Private Bank Suisse SA Liquidation), et al. v. HSBC		Guyerzeller Zurich, et al.				
Trust Company AG n/k/a HSBC Trust Company AG HSBC Private Bank Suisse SA Liquidation), et al. v. HSBC						
AG n/k/a HSBC Trust Company AG HSBC Private Bank Suisse SA Liquidation), et al. v. HSBC						
Trust Company AG HSBC Private Bank Suisse SA Liquidation), et al. v. HSBC Sand Suisse SA Liquidation Liqu						
AG HSBC Private Bank Suisse SA High fairfield Sentry Ltd. (In Liquidation), et al. v. HSBC 10-03633 X X X X X X X X X						
HSBC Private Fairfield Sentry Ltd. (In Bank Suisse SA Liquidation), et al. v. HSBC X X X						
Bank Suisse SA Liquidation), et al. v. HSBC		Fairfield South Itd (In	10 02622	Y	V	Y
			10-03033	A	Λ	Λ
	Dank Duisse DA	Private Bank Suisse SA, et al.				

Defendant	Case Name	Adv.	Commercial	FBC	FINMA
Name		Pro. No.	Register Extract	List	Letter
InCore Bank	Fairfield Sentry Ltd. (In	10-03635	X	X	X
AG	Liquidation), et al. v. ABN				
	Amro Schweiz AG a/k/a ABN				
InCore Bank	Amro (Switzerland) AG, et al. Fairfield Sentry Ltd. (In	10-03636	X	X	X
AG	Liquidation), et al. v. ABN	10-03030	A	Λ	Λ
	Amro Schweiz AG a/k/a ABN				
	Amro (Switzerland) AG, et al.				
ING Bank	Fairfield Sentry Ltd. (In	10-03801	X	X	X
(Suisse) SA, as	Liquidation), et al. v. ING				
predecessor to	Bank (Suisse) SA, as				
Bank Julius	predecessor to Bank Julius				
Baer & Co. Ltd.	Baer & Co. Ltd., et al.				
Investec Bank	Fairfield Sentry Ltd. (In	12-01125	X	X	X
(Switzerland)	Liquidation), et al. v. Investec				
AG	Bank (Switzerland) AG, et al.	10.02625	V	X	X
Lloyds TSB	Fairfield Sentry Ltd. (In	10-03635	X	A	A
Bank plc (named as	Liquidation), et al. v. ABN AMRO Schweiz AG, et al.				
Lloyds TSB	AMKO Schweiz, AG, et al.				
Bank Geneva);					
Coutts & Co.					
AG (f/k/a RBS					
Coutts Bank,					
Ltd.)					
Lloyds TSB	Fairfield Sentry Ltd. (In	10-03636	X	X	X
Bank plc	Liquidation), et al. v. ABN				
(named as	AMRO Schweiz AG, et al.				
Lloyds TSB					
Bank Geneva);					
Coutts & Co.					
AG (f/k/a RBS					
Coutts Bank,					
Ltd.) Lombard Odier	Fairfield Centry I td (In	10-03635	X	X	X
Darier Hentsch	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN	10-03033	Λ	A	Λ
& Cie n/k/a	AMRO Schweiz AG, et al.				
Banque	Thinks somwell ho, et al.				
Lombard Odier					
& Cie S.A					

D.C. L.		A 1	C .1	EDC	EININAA
Defendant Name	Case Name	Adv. Pro. No.	Commercial Register Extract	FBC List	FINMA Letter
Lombard Odier Darier Hentsch & Cie n/k/a Banque Lombard Odier & Cie S.A	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03636	X	X	X
Lombard Odier Darier Hentsch & Cie n/k/a Banque Lombard Odier & Cie S.A	Fairfield Sentry Ltd. (In Liquidation), et al. v. Lombard Odier Darier Hentsch & Cie, et al.	10-03795	X	X	X
Merrill Lynch Bank (Suisse) SA	Fairfield Sentry Limited (In Liquidation), et al., v. Merrill Lynch Bank (Suisse) SA, et al.	10-03788	X	X	X
Mirabaud & Cie SA (f/k/a Mirabaud & Cie a/k/a Mirabaud & Cie Banquiers Prives)	Fairfield Sentry Ltd. (In Liquidation) et al. v. Mirabaud & Cie a/k/a Mirabaud & Cie Banquiers Prives	11-01257	X	X	X
PKB Privatbank SA	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03635		X	X
PKB Privatbank SA	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03636		X	X
Privatbank IHAG Zurich AG (sued as IHAG Handelsbank AG)	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03635		X	X
Privatbank IHAG Zurich AG (sued as IHAG Handelsbank AG)	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03636		X	X

Defendant Name	Case Name	Adv. Pro. No.	Commercial Register Extract	FBC List	FINMA Letter
Rahn & Bodmer Banquiers (n/k/a Rahn+Bodmer Co.)	Fairfield Sentry Ltd. (In Liquidation), et al. v. Rahn & Bodmer Banquiers, et al.	11-01581	X	X	X
Rothchild Bank Geneve (Dublin) a/k/a Banque Privee Edmond de Rothschild SA	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03635	X	X	X
Rothchild Bank Geneve (Dublin) a/k/a Banque Privee Edmond de Rothschild SA	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03636	X	X	X
Rothschild Bank AG Zurich (Dublin) a/k/a Rothschild Bank AG	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03635	X	X	X
Rothschild Bank AG Zurich (Dublin) a/k/a Rothschild Bank AG	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03636	X	X	X
Rothschild Lugano Dublin a/k/a Banca Privata Edmond de Rothschild Lugano SA	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03635	X	X	X
Rothschild Lugano Dublin a/k/a Banca Privata Edmond de Rothschild Lugano SA	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03636	X	X	X
Schroder & Co. Bank AG	Fairfield Sentry Ltd. (In Liquidation), et al. v. Schroder & Co. Bank AG, et al.	11-01249	X	X	X

Defendant Name	Case Name	Adv. Pro. No.	Commercial Register Extract	FBC List	FINMA Letter
SG Private Banking (Suisse) SA n/k/a Société Générale Private Banking (Suisse) SA	Fairfield Sentry Ltd. (In Liquidation), et al. v. SG Private Banking (Suisse) SA, et al.	10-03595	X	X	X
SG Private Banking (Suisse) SA n/k/a Société Générale Private Banking (Suisse) SA	Fairfield Sentry Ltd. (In Liquidation), et al. v. SG Private Banking (Suisse) SA, et al.	10-03786	X	X	X
SIX SIS AG, f/k/a Sis SegaInterSettle AG	Fairfield Sentry Ltd. (In Liquidation), et al. v. Six Sis AG/CH104026, et al.	10-03869	X	X	X
Six Sis Ltd. & SIS SEEGANINTE RSETTLE	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03635		X	X
Six Sis Ltd. & SIS SEEGANINTE RSETTLE	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03636	X	X	X
UBS AG (sued as UBS AG New York)	Fairfield Sentry Ltd. (In Liquidation), et al. v. UBS AG New York, et al.	10-03780	X	X	X
UBS AG (sued as UBS AG Zurich and UBS AG New York)	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03635	X	X	X
UBS AG (sued as UBS AG Zurich and UBS AG New York)	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03636	X	X	X
UBS AG (sued as UBS Zurich)	Fairfield Sentry Ltd. (In Liquidation), et al. v. UBS Fund Services (Ireland) Ltd., et al.	11-01258	X	X	X

Defendant Name	Case Name	Adv. Pro. No.	Commercial Register Extract	FBC List	FINMA Letter
Union Bancaire Privée, UBP SA (sued as ABN AMRO Schweiz AG a/k/a ABN AMRO (Switzerland) AG)	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03635	X	X	X
Union Bancaire Privée, UBP SA (sued as ABN AMRO Schweiz AG a/k/a ABN AMRO (Switzerland) AG)	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03636	X	X	X
Wegelin & Co.	Fairfield Sentry Ltd. (In Liquidation) et al. v. HSH Nordbank Securities S.A. et al.	12-01555	X	X	X